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Dear Margaret

## **Draft SRA (Cost of Investigations) Regulations Consultation**

I refer to the SRA's recent consultation regarding the Draft SRA (Cost of Investigations) Regulations. The CLLS's Professional Rules & Regulation Committee has considered the draft regulations, and wishes to make the following points:

- While in effect dealt with under Regulation 5 ("Decision to require payment of charges"), Regulation 3 ("Discipline investigations") should perhaps make it clear that the adverse finding should relate to the issues investigated, and not to some previous issue.
- In Regulation 5, where a matter is resolved by agreement, the amount of the fee should be the subject of agreement too. Currently it appears that the SRA representative making the agreement has absolute authority to impose charges, despite what has been agreed.

Yours faithfully

David McIntosh